

Privacy Policy Statement

Effective Date: 23 May, 2025

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The advice provided is general in nature and does not take into account your personal objectives, financial situation, or needs. You should consider whether it is appropriate for your circumstances and consult a licensed financial adviser before making any investment decision. Investing involves risk. Past performance is not a reliable indicator of future results. ARC Capital Venture and Titan Securities Pty Ltd do not guarantee the accuracy or completeness of this information and accept no liability for any loss arising from its use.

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Privacy Policy Statement

Effective Date: 23rd May 2025

Welcome to ARC Capital Venture. This Privacy Policy outlines how we collect, use, and protect your personal information when you engage with our website and services. We are committed to handling your data in accordance with U.S and Australian privacy law. Please read this policy carefully.

1. Introduction

At ARC Capital Venture, LLC ("ARC", "ARC Capital", "ARC Capital Venture", "we", "our", or "us"), we are committed to protecting your privacy and handling your personal information in accordance with applicable U.S. federal and state privacy laws, including but not limited to the Gramm-Leach-Bliley Act (GLBA) and relevant state-specific legislation such as the California Consumer Privacy Act (CCPA), where applicable.

At ARC Capital Venture (Australia) Pty Ltd ("ARC", "ARC Capital", "ARC Capital Venture", "we", "our", or "us"), we are committed to protecting your privacy and handling your personal information in accordance with the Privacy Act 1988 (Cth) and the Australian Privacy Principles (APPs).

This Privacy Policy explains how we collect, use, disclose, and safeguard your personal information. By using our services or accessing our website, you agree to the terms of this Privacy Policy.

2. What Information We Collect

We may collect the following types of personal information:

- Full name
- Date of birth
- Residential and mailing address
- Email address and phone number
- Government-issued identification (e.g., driver's licence, passport)
- Social Security Number (SSN) or Tax file number (TFN) (if applicable)
- Financial information (income, assets, investment history)
- Bank account details

- Details of products and services used
- IP address, browser information, and activity on our website

3. How We Collect Personal Information

We collect personal information in several ways:

- Directly from you when you fill out an application form, sign up for services, or contact us;
- Through telephone, email, or other communication;
- From third parties such as financial advisers, brokers, or verification agencies;
- Automatically when you use our website through cookies and analytics tools.

4. Why We Collect Personal Information

We collect, use, and hold your personal information to:

- Identify and verify you in compliance with AML/CTF obligations;
- Assess your suitability for our financial products and services;
- Establish and manage client accounts;
- Process transactions and administer investments;
- Meet regulatory and legal requirements;
- Communicate with you regarding your account, investments, and updates;
- Improve our services and website functionality.

5. Use and Disclosure of Information

We may use or disclose your personal information to:

- External service providers (e.g., custodians, ID verification providers, IT support);
- Our licensee, Titan Securities Pty Ltd, for compliance and reporting purposes;
- Financial product issuers and market makers;
- Auditors, consultants, and legal advisers;
- Regulators such as the Australian Securities and Investments Commission (ASIC), AUSTRAC, or AFCA, where required by law;
- Related group entities in Australia and overseas, where relevant and compliant with privacy law.

6. Overseas Disclosure

Some of our third-party service providers may be located outside of the United States & Australia, including providers of cloud storage, digital communications, or CRM systems.

Before disclosing your information overseas, we take reasonable steps to ensure that:

- The overseas recipient does not breach the APPs; or
- You consent to the disclosure; or
- The disclosure is otherwise authorised by law.

7. Marketing and Communications

We may use your personal information to send marketing communications about products, services, and opportunities we think may interest you. You can opt out of receiving such communications at any time by:

- Clicking the “unsubscribe” link in an email;
- Contacting us directly at: unsubscribe@arc-capital.com.

8. Cookies and Website Analytics

We use cookies and tracking tools (e.g., Google Analytics) on our website to:

- Enhance your browsing experience;
- Monitor website traffic;
- Improve our content and services.
- You can configure your browser to reject cookies, though this may limit functionality.

9. How We Store and Secure Information

We take reasonable steps to protect personal information from:

- Unauthorised access or disclosure;
- Misuse, interference, or loss.

These steps include:

- Secure password-protected systems;
- Firewalls and encryption;
- Physical security controls;
- Staff training and confidentiality agreements.

We retain your personal information only as long as necessary to fulfil its intended purpose or meet legal obligations.

10. Access and Correction

You may request access to the personal information we hold about you at any time, and request corrections if you believe it is inaccurate or incomplete.

To do so, please contact our Privacy Officer at the details provided in Section 13. We aim to respond within 30 days.

11. Complaints Handling

If you believe we have breached the Privacy Act or mishandled your personal information, you may lodge a complaint by contacting us.

We will:

- Acknowledge your complaint within 5 business days;
- Investigate the issue;
- Respond in writing within 30 calendar days.

If you're not satisfied with the outcome, you may escalate the matter to the Office of the Australian Information Commissioner (OAIC):

Website: www.oaic.gov.au

Phone: 1300 363 992

Email: enquiries@oaic.gov.au

12. Changes to this Policy

We may amend this Privacy Policy from time to time. The most current version will be published on our website, with the "Last Updated" date appearing at the top.

You are encouraged to review this policy periodically.

13. Contact Us

For any privacy-related queries, access requests, or complaints, please contact:

Privacy Officer

ARC Capital Venture (Australia) Pty Ltd

Address: Level 27, 101 Collins Street, Melbourne VIC 3000, Australia

Phone: +61 3 9998 0440

Local Call: 1300 764 230

Email: info@arc-capital.com